



In Re the Application of:

ANDREW F. GLEW, ET AL.

Application No.: 10/039,595

Filed: December 31, 2001

For: Authenticated Code Module

Art Group: 2131

Examiner: Unassigned

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NOV 2 1 2002

Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated August 6, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated August 6, 2002.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 15, 2002

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 15, 2002

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Corrinn R. Reynolds

Date

Substitute for Form 1449A/PTO (Modified)

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Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known					
Application Number	10/039,595				
Filing Date	December 31, 2001				
First Named Inventor:	Andrew F. Glew				
Group Art Unit	2131				
Examiner Name	Unassigned				
Attorney Docket Number	042390P13735				

	U.S. PATENT DOCUMENTS										
	Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document  Number Kind		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant				
/	/, -	vc,	Code <sup>2</sup>	(If known)		00/40/4000	Figures Appear				
٦		ar o	4,571,672	<del></del> _	Hatada, et al.	02/18/1986					
	19	2	5,953,502	Α	Helbig, Sr.	09/14/1999					
	HOA	8	6,101,584		Satou, et al	08/08/2000					
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FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No.1	Office	oreign Patent Documen  3 Number <sup>4</sup> Kind C  (If kno	ode <sup>5</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰
			EP 1 030 237 A		Hewlett Packard	08/23/2000		

Examiner	dered
Signature	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute fo	r Form 1	449A/PTO (Modified)		Complete if Known				
INIEC	)RMZ	ATION DISCL	OSURE	Application Number 10/039,595				
				Filing Date	December 31, 2001			
STA		ENT BY APPI		First Named Inventor:	Andrew F. Glew			
	(use a	is many sheets as necessa	ry)	Group Art Unit	2131			
				Examiner Name	Unassigned			
Sheet	2	of	2	Attorney Docket Number	042390P13735			
		OTHER A	RT - NO PATENT	LITERATURE DOCUMENTS	S			
Examiner Initials*	Cite No <sup>1</sup>	item (book, maga	zine, journal, serial, number(s), publishe	LETTERS), title of the article (wh symposium, catalog, etc.), date, rr, city and/or country where publ	page(s), volume-issue ished	T <sup>2</sup>		
HUN 1 9 JOUR	GE 6/2	Heinrich, J., "MIPS F Mountain View, CA,		ssor User's Manual," 1994, M	IPS Technology, Inc.,			
PLENTE TRADEN		Heinrich, J. "MIPS R XP002184449, pp. 6		sor User's Manual," April 1, 1	993, MIPS, Mt. View,			
				RECEIVED				
				NOV 2 1 2002				
				Technology Center 2100				
Examiner				Date				

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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a correction of information unless it displays a valid OMB control number. Application No. 10/039,595 TRANSMITTAL FORM Filing Date December 31, 2001 (to be used for all correspondence after initial filing) First Named Inventor Andrew F. Glew Group Art Unit 2131 Examiner Name Unassigned

Total Number of Pages in Th	is Submission	on 17	Attorney Docket Numbe	42390	DP13735.			
ENCLOSURES (check all that apply)								
Fee Transmittal Form	Assignment Papers (for an Application)			After Allowance Communication o Group				
Fee Attached	Drawing(s)			Appeal Communication to Board of Appeals and Interferences				
Amendment / Response		Licensing-re	elated Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final Affidavits/declaration	n(s)	Petition			Proprietary Information			
Extension of Time Request		Petition to C	Convert a Application		Status Letter			
Express Abandonment Rec	quest	Power of At Change of 0	torney, Revocation Correspondence Address		Other Enclosure(s) (please identify below):			
Information Disclosure Stat	ement	Terminal Di	sclaimer		B08 Form; Copy of Search eport and 6 Cited			
Certified Copy of Priority Document(s)		Request for Refund			eferences			
Response to Missing Parts/ Incomplete Application	′	CD, Number of CD(s)			CEIVED			
Response to Missing Parts under 37 CFR 1.52 or 1.53	9	NOV 2 1 2002			OV 2 1 2002			
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Signature	1/2	7//						
Date Nove	mber 15,	2002						
			ING/TRANSMISSION					
	I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:  November 15, 2002							
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Signature (	<del>D</del>	- K Ku	molds-	Date	November 15, 2002			

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL	Complete if Known			
	Application Number	10/039,595		
for FY 2003	Filing Date	December 31, 2001		
Patent fees are subject to annual revision.	First Named Inventor	Andrew F. Glew		
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Unassigned		
	Group/Art Unit	2131	<u></u>	

Attorney Docket No.

TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check one)				FE	E CALCULATION (continued)		
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	ODITIO	NAL	FEES	3		
Order	Large	Entity	ı Sma	ll Entity	,		
Deposit Account	Fee	Fee	Fee	Fee	_		
Deposit	Code	(5)	Code	(\$)	Fee Description	Fee Paid	
Account Number 02-2666	1051	130	2051	65	Surcharge - late filing fee or oath		
Deposit	1052	50	2052	25	Surcharge - late provisional filling fee or cover sheet.		
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification		
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812		For filing a request for ex parte reexamination		
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920 •	Requesting publication of SIR prior to		
<u> </u>					Examiner action		
12	1805	1,840*	1805	1,840	Requesting publication of SIR after Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month		
FEE CALCULATION	1252	400	2252	200	Extension for reply within second month		
1. BASIC FILING FEE	1253	920	2253	460	Extension for reply within third month		
Large Entity Small Entity	1254	1,440	2254	720	Extension for reply within fourth month	J	
Fee Fee Fee Fee Description Fee Paid	1255	1,960	2255	980	Extension for reply with the onth EIVED	<b>}</b>	
Code (\$) Code (\$)	1404	320	2401	160	Notice of Appeal	-	
1001 740 2001 370 Utility filing fee	1402	320	2402	160	Filing a brief in support of a hippadi 2 1 2002		
1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hearing		
1003 510 2003 255 Plant filing fee 1004 740 2004 370 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute publishing program Center 21	10 <del>0</del> -	
1004 740 2004 370 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable		
	1453	1,280	2453	640	Petition to revive - unintentional		
SUBTOTAL (1)	1501	1,280	2501	640	Utility issue fee (or reissue)	<del></del> ,	
2. EXTRA CLAIM FEES Extra Fee from	1502	460	2502	230	Design issue fee		
2. EXTRACLATIVIFEES Extra Fee from Cams below Fee Paid	1503	620	2503	310	Ptant issue fee		
Total Claims 20° = X	1460	130	2460	130	Petitions to the Commissioner		
Independent X = X	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Stmt		
Large Entity   Small Entity	8021	40	8021	40	Recording each patent assignment per		
Fee Fee Fee Fee <u>Fee Description</u>					property (times number of properties)		
Code (5) Code (5)	1809	740	1809	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1810	740	2810	370	For each additional invention to be		
1201 84 2201 42 Independent claims in excess of 3  1203 280 2203 140 Multiple Dependent claim, if not paid					examined (37 CFR § 1.129(b))		
1204 84 2204 42 "Reissue independent claims over original	1801	740	2801	370	Request for Continued Examination (RCE)		
patent	1802	900	1802	900	Request for expedited examination of a design application		
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fee	e (specify)	•	_	- G. opposit		
SUBTOTAL (2) (\$)					_		
**or number previously paid, if greater, For Reissues, see below	*Reduced	by Basic Filin	g Fee Pai	d	SUBTOTAL (3) (\$)		
SUPMITTED DV							

Complete (if applicable) SUBMITTED BY Registration No. (714) 557-3800 Name (Print/Type) 39,018 Telephone William W. Schaal (Attomey/Agent) 11/15/02 Signature Date

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